



PATENT

Attorney Docket No. 202411
Client Reference No. 136631.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andrew et al.

Group Art Unit: 2122

Application No. 09/506,125

Examiner: Eric B. Kiss

Filed: February 17, 2000

For: Method and System for Reducing Coding
Complexity by Providing Intelligent
Manipulable Defaults

INFORMATION DISCLOSURE STATEMENT

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☐ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as

set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- ☒ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:

☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).

or

☒ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

Copies of the References

- ☐ Copies of all of the references listed on the enclosed Form 1449 are enclosed herewith.
- ☐ This patent application was filed after June 30, 2003. Accordingly, copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form 1449 are enclosed herewith.
- ☒ Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).
- ☐ A copy of the foreign search report is enclosed herewith.

- ☐ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

Statement under 37 CFR 1.97(e)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Statement under 37 CFR 1.704(d)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Fees

- ☐ No fee is owed by the applicant(s).
- ☒ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

In re Appln. of Andrew et al.
Application No. 09/506,125

Method of Payment of Fees

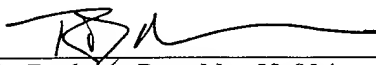
- ☐ Attached is a check in the amount of \$
☒ Charge Deposit Account No. 12-1216 in the amount of \$180.00. (A duplicate copy of this communication is enclosed for that purpose.)

Authorization to Charge Additional Fees

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

Instructions as to Overpayment

- ☒ Credit Account No. 12-1216.
☐ Refund

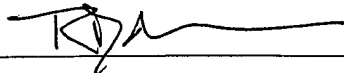

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Date: September 7, 2004

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 9/7/04





THE PATENT AND TRADEMARK OFFICE IS RESPECTFULLY REQUESTED TO PLACE ITS STAMP ON THIS POSTAL CARD AND PLACE IT IN THE OUTGOING MAIL TO SHOW THE FOLLOWING PAPERS HAVE BEEN RECEIVED.

Mailed September 7, 2004 via First Class Mail
202411- Microsoft (Seattle) RPD/sld

HVT

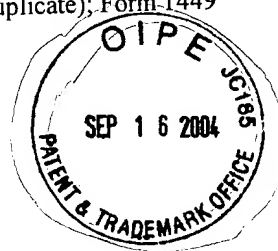
In re Application of CARROLL et al., Filed February 17, 2000
For: REDUCE CODING COMPLEXITY THROUGH EXTENSIBLE
RESOURCE ANNOTATIONS
U.S. Patent Application Serial No. 09/506,125

Enclosed: Information Disclosure Statement (4 pages in duplicate); Form-1449
(2 pages); Copies of References BF-CQ

LEVY, VOT & KAWER
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SEP 27 2004

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+

FEB 18 2005

FEB 10 2005
PATENT & TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	09/506,125
Filing Date	February 17, 2000
First Named Inventor	Felix G.T.I. Andrew
Group Art Unit	2122
Examiner Name	Eric B. Kiss
Attorney Docket Number	202411

Sheet	1	of	2
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U.S. PATENT DOCUMENTS

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	B F	4,899,136		Beard et al.	02/06/1990	
	B G	5,127,104		Dennis	06/30/1992	
	B H	5,371,675		Greif et al.	12/06/1994	
	B I	5,375,241		Walsh	12/20/1994	
	B J	5,412,717		Fischer	05/02/1995	
	B K	5,546,525		Wolf et al.	08/13/1996	
	B L	5,563,997		Fisher	10/08/1996	
	B M	5,577,250		Anderson et al.	11/19/1996	
	B N	5,623,591		Cseri	04/27/1997	
	B O	5,651,108		Cain et al.	07/22/1997	
	B P	5,694,561		Malamud et al.	12/02/1997	
	B Q	5,745,712		Turpin et al.	04/28/1998	
	B R	5,809,230		Pereira	09/15/1998	
	B S	5,815,703		Copeland et al.	09/29/1998	
	B T	5,838,317		Bolnick et al.	11/17/1998	
	B U	5,844,554		Geller et al.	12/01/1998	
	B V	5,855,015		Shoham	12/29/1998	
	B W	5,884,083		Royce et al.	03/16/1999	
	B X	5,887,139		Madison, Jr. et al.	03/23/1999	
	B Y	5,905,492		Straub et al.	05/18/1999	
	B Z	5,935,210		Stark	08/10/1999	
	C A	5,980,090		Royal, Jr. et al.	11/09/1999	
	C B	5,983,245		Newman et al.	11/09/1999	
	C C	6,121,964		Andrew	09/19/2000	
	C D	6,252,593		Gti	06/26/2001	
	C E	6,268,852		Lindhorst et al.	07/31/2001	
	C F	6,332,154		Beck et al.	12/18/2001	
	C G	6,342,907		Petty et al.	01/29/2002	
	C H	6,348,927		Lipkin	02/19/2002	
	C I	6,370,141		Giordano III et al.	04/09/2002	
	C J	6,370,508		Beck et al.	04/09/2002	
	C K	6,393,569		Orenshteyn	05/21/2002	
	C L	6,476,833		Moshfeghi	11/05/2002	
	C M	6,480,855		Siefert	11/12/2002	
	C N	6,560,621		Barile	05/06/2003	
	C O	6,678,889		Burkett et al.	01/13/2004	

Examiner Signature _____

Date Considered

* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).
+An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).



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